

Precision in Thin Film Metrology

*Techniques, Challenges, and
Real-World Applications*

Lyle Gordon, PhD

Director of Materials, Chemistry and
Surfaces Group,
Covalent Metrology

Max Junda, PhD

Senior Member of Technical Staff,
Covalent Metrology

APR 10, 2025

11 AM Pacific Time



Welcome

to today's episode:

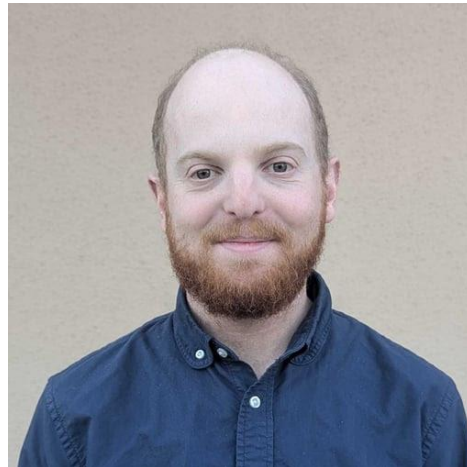
**COVALENT
ACADEMY**

Industrial Applications of
Advanced Metrology
Episode 42

Dr. Lyle Gordon

*Director of Materials, Chemistry & Surfaces Group,
Covalent Metrology*

Dr. Gordon joined Covalent in 2023 where he manages the Materials, Chemistry and Surfaces group, overseeing X-ray metrology, analytical chemistry, and surface science. He has extensive expertise in time-of-flight mass spectrometry, particularly related to his work on atom probe tomography. Dr. Gordon completed his Bachelor of Applied Science at the University of Toronto, his PhD in Materials Science at Northwestern University, and was a postdoctoral fellow for the Department of Energy at Pacific Northwest National Lab.



Dr. Max Junda

*Senior Member of Technical Staff,
Covalent Metrology*

Dr. Junda joined Covalent in 2019 to grow and develop the company's ellipsometry offerings. Since then, he has helped provide measurement, modeling, and consulting services to over 110 separate customers across many industries. Max's particular interest is in developing customized data collection and optical modeling methods that expand the areas where ellipsometry can be used beyond typical film thickness and optical property measurement. He enjoys working directly with customers to understand their specific problems and data needs so that the experiments can be tailored to each application.





Modern, digitally-empowered analytical services platform delivering quality data and expert analysis to accelerate advanced materials and device innovation.



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Analytical Services

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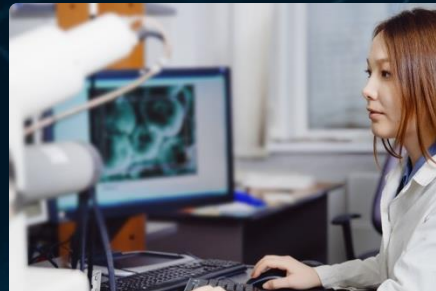
High-touch, High-Quality Services

Ionic Membership Program

Enterprise Metrology Solutions

Instant, Secure Access to Data and Reports

Expanding Toolkit in Custom Digital Platform



Flexible Business Models

LiveView™ (real-time collaboration)

Co-op and Tool-share Opportunities

Training and Certification on Instrumentation

Laboratory Audits

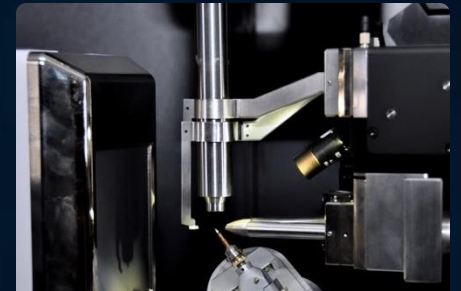


Rich Network of Partnerships

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Lab Connections and Applications Learning



Who We Are, Who We Serve

50+ People, 14 PhDs

Comprehensive, Modern Analytical Capabilities

Headquarter Lab in Sunnyvale, CA

600+ Clients, 15-30 new clients / week

Covalent's Analytical Services & Technical Groups

Enterprise Metrology Solutions: Failure Analysis & EM



Electron Microscopy

- S/TEM
with EDS; EELS; Electron Diffraction; SAED
- FIB-SEM & HR-SEM
with EDS; EBSD; 3D Tomography
- Lamella Preparation
incl. specialized lift-outs



Failure Analysis

- DPA / Mechanical X-section
- Dye & Pry Test
- EBIC / OBIC failure analysis
- Hot Spot Detection
- NIR / IR Imaging
- Emission Microscopy
- Root-Cause Failure Analysis

Materials, Chemicals, and Surfaces



Microscopy & Profilometry

- Chromatic Aberration
- Digital Optical Microscopy
- Laser Scanning Confocal Microscopy
- White Light Interferometry
- Scanning Acoustic Microscopy (SAM)



Mechanical Testing

- AFM & Advanced AFM Modes (EFM, KPFM, MFM, PFM, PiFM)
- Nano-indent / Nano-scratch
- Rheometry / Viscosity
- DMA / TMA (bend/stretch/compression)
- Tensile testing



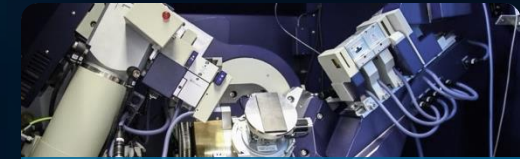
Analytical Chemistry

- Mass Spectroscopy: ICP-MS and LA-ICP-MS; GCMS
- ICP-OES / GDOES
- Raman
- NMR (solid / liquid + 1,2,3 nuclei)
- XPS, UPS, ISS
- SIMS, TOF-SIMS



Misc. Material Properties

- Thermal Analysis: DSC, TGA
- Surface Zeta Potential
- Porometry / Pycnometry
- Gas Adsorption / Chemisorption
- Foam Density / Skeletal Density / Tap Density
- Particle Analysis: DLS / ELS / size distribution / zeta potential



X-ray Characterization

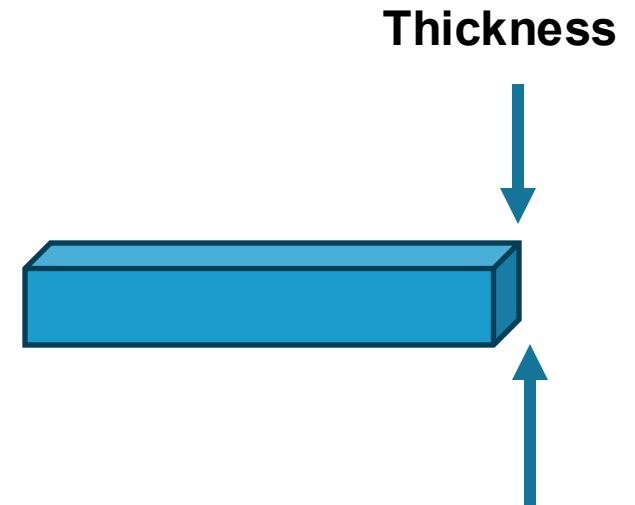
- X-Ray Diffraction (XRD)
- X-Ray Reflectometry (XRR)
- Micro-computed X-ray Tomography (Micro-CT)
- 2D / 2.5D / 3D X-ray Inspection & X-ray Radiography
- ED-XRF / WD-XRF



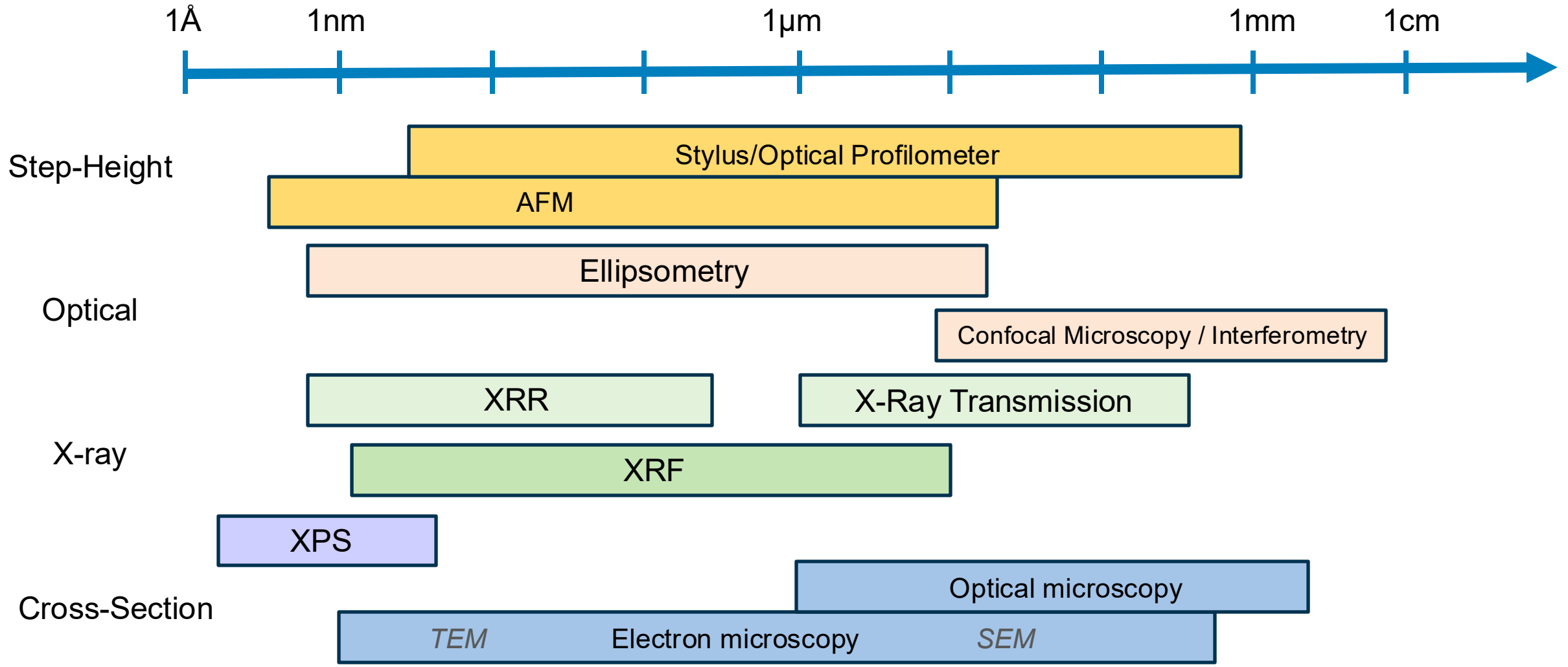
Optical Characterization

- Fourier Transformed Infrared Spectroscopy (FTIR and ATR-FTIR)
- **Spectral Ellipsometry & Advanced Optical Modeling**
- UV-Vis-NIR Spectroscopy

- Film thickness plays a critical role in many applications
 - Corrosion resistance
 - Semiconductor devices
 - Optical and electrical properties
 - And many more...
- Thickness measurements are widely used
 - Optimize and control etching and growth processes
 - Understand functional materials
 - Reverse engineering
- **Applicability** and **limitations** of selected techniques
 - using a few **case studies** and **practical examples** from different industries
- Q&A with Covalent Experts



Thickness varies over many orders of magnitude

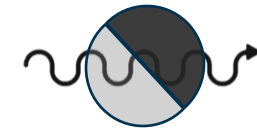


Not exhaustive list

1. Substrate exposed (or masked)



2. Optically transparent

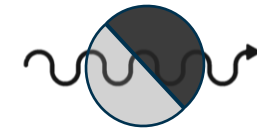


3. Prior knowledge for modeling

?

4. *Everything else*

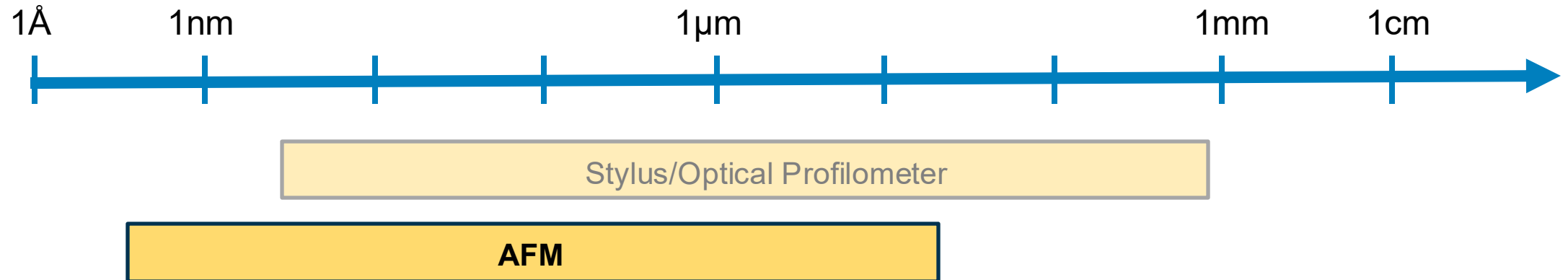
-
1. Substrate exposed (or masked)
 2. Optically transparent
 3. Prior knowledge for modeling
 4. *Everything else*



?

Step Height

- What is the expected film thickness?



Step Height

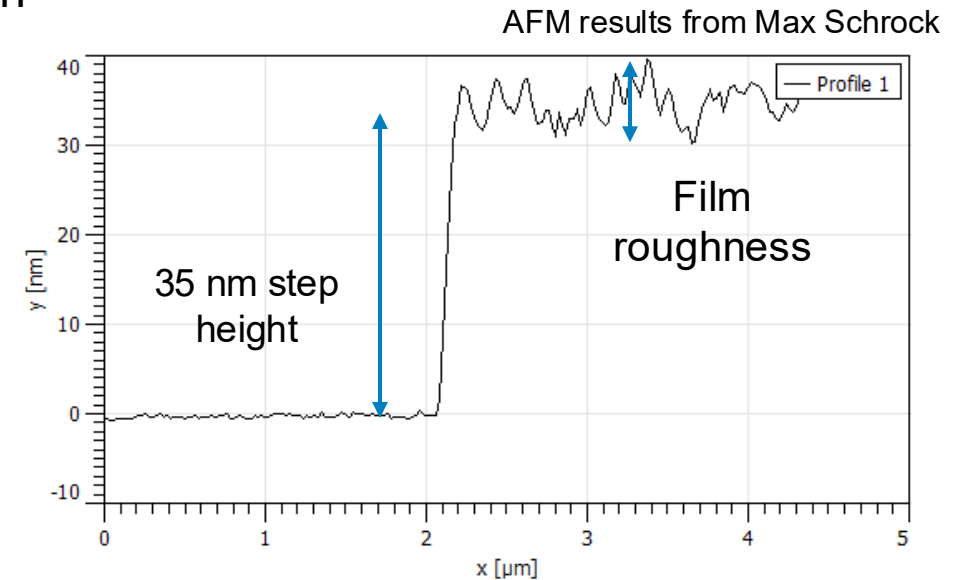
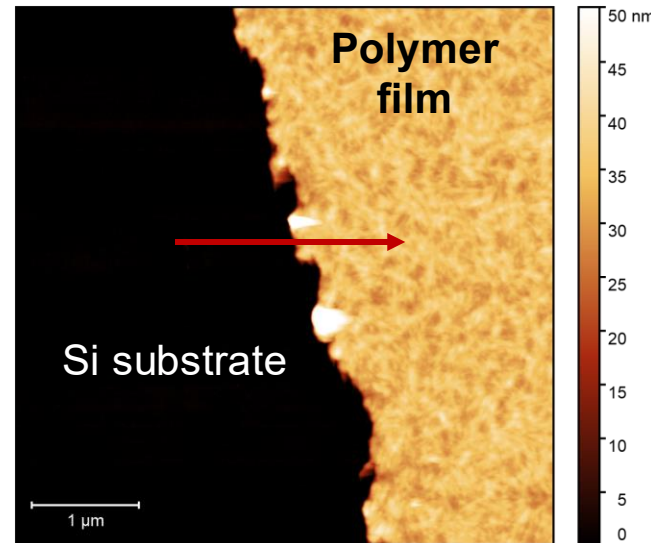
- Narrow applicability: requires exposed substrate
 - Simple and widely used
 - If you are growing the film, you may be able to mask an area of the substrate
- Measure the height difference from the top of the film to the substrate
 - Optical or stylus profilometry for thicker films, AFM for very thin

Additional Info
Surface roughness

Example:
Soft polymer film was scratched away from a region of the substrate

Limitations:

- Requires exposed substrate

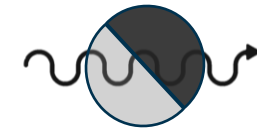


Method	Applicability	Benefits	Limitations
Step Height	Films with exposed substrates	Simple and direct measurement	Requires exposed substrate, stylus can scratch sample

1. Substrate exposed (or masked)



→ 2. Optically transparent



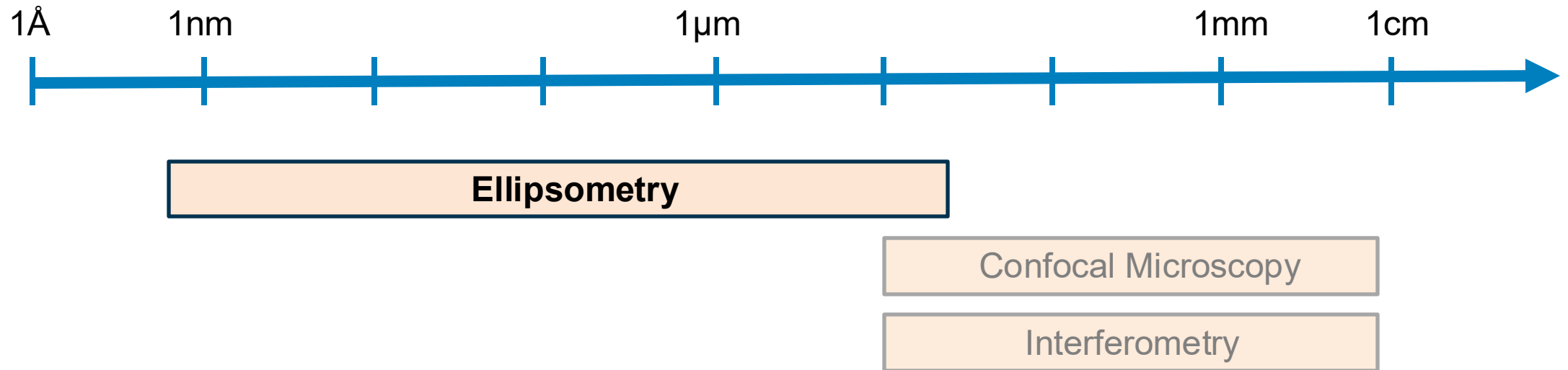
→ 3. Prior knowledge for modeling

?

4. *Everything else*

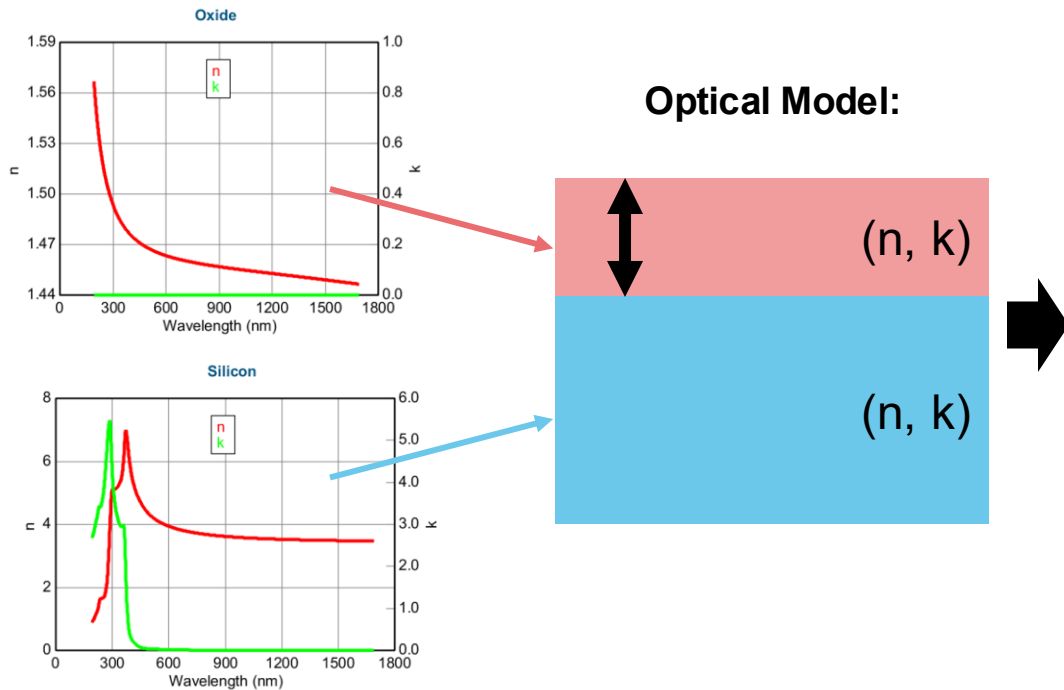
Optically transparent films

- What is the expected film thickness?

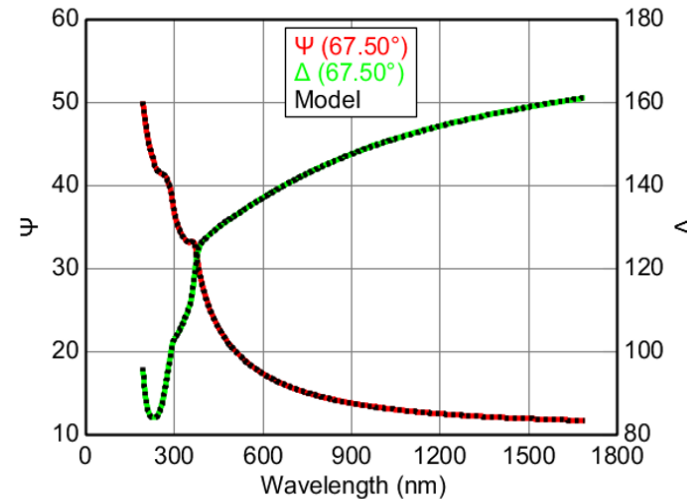


Ellipsometry Overview

Optical Property Spectra:



Fit to Measured Raw Data:



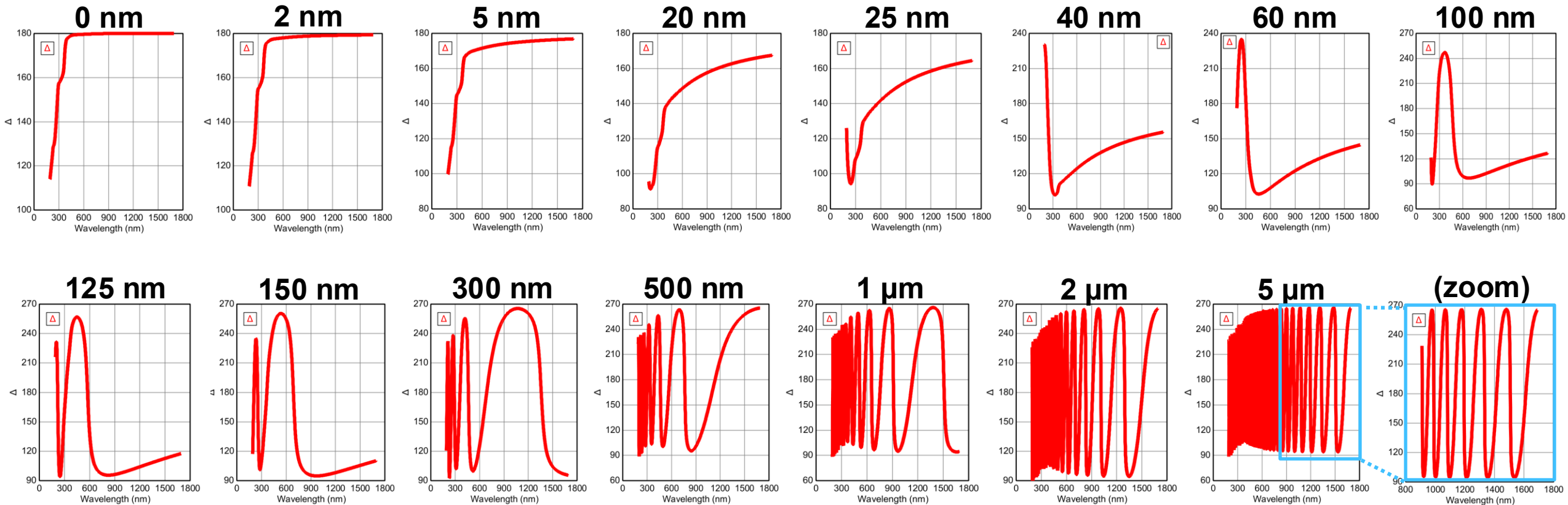
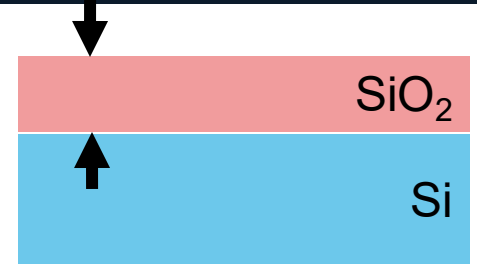
Limitations and Feasibility Vary For Different Cases:

- Very thin films (<5 nm)
- Very thick films (>5μm)
- Absorbing films (e.g. metals)
- Multilayer Stacks

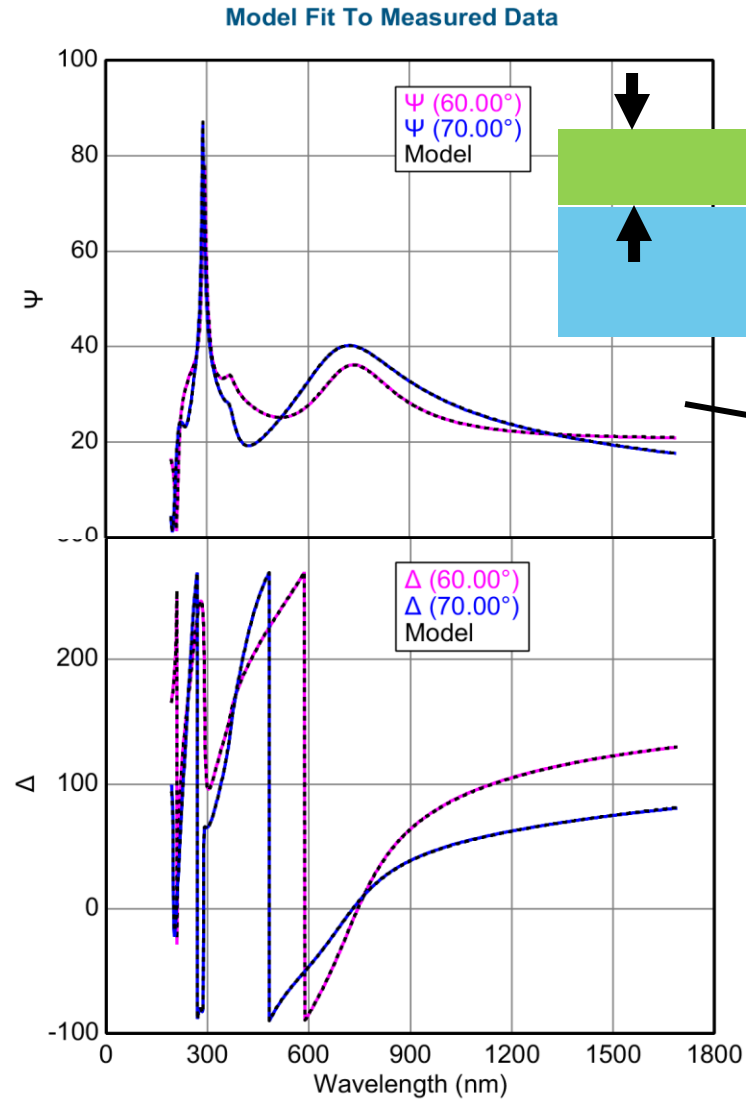
Method	Applicability	Benefits	Limitations
Ellipsometry	Somewhat optically transparent films	Quick, non-destructive, measure optical properties, mapping	Needs transparency and knowledge of the layers for modeling

Ellipsometry Thickness Sensitivity: Thin Film Interference

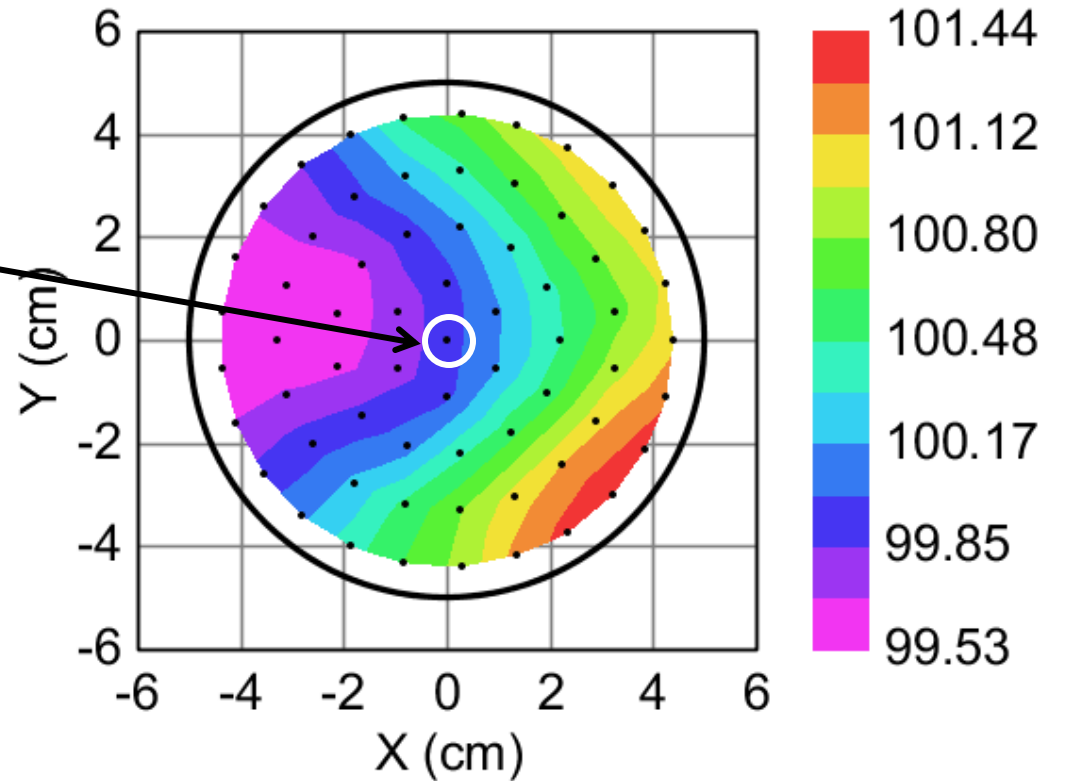
Example of raw ellipsometry data with varying film thickness



Basic Example: SiN on Si Wafer

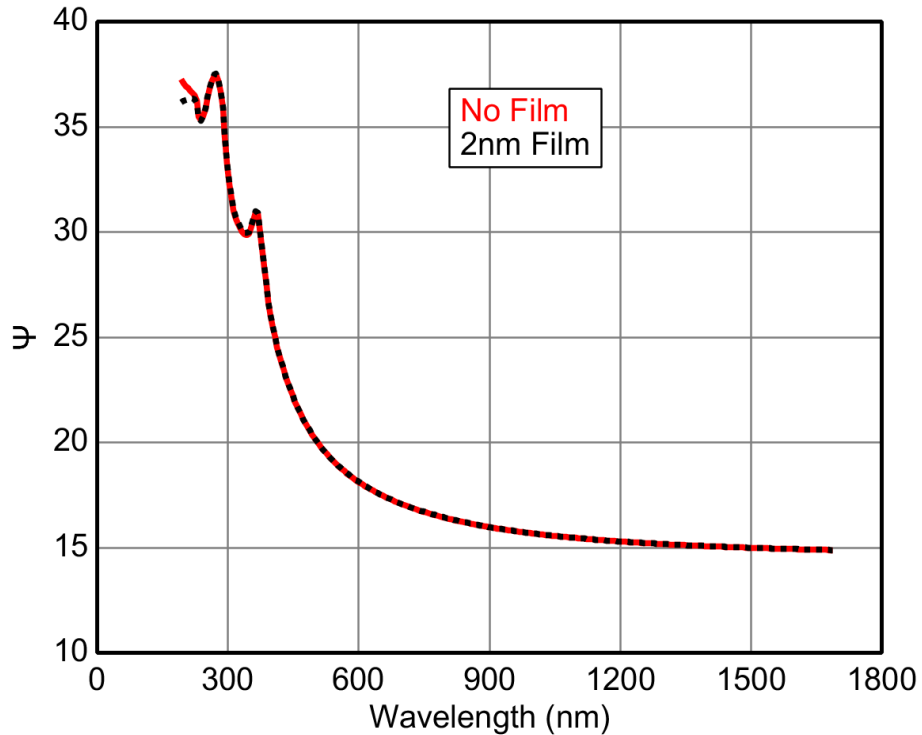


SiNx Thickness in nm vs. Position

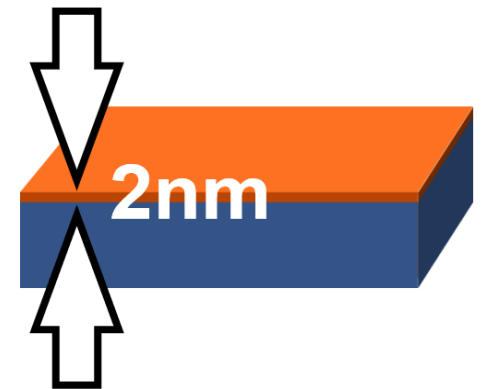
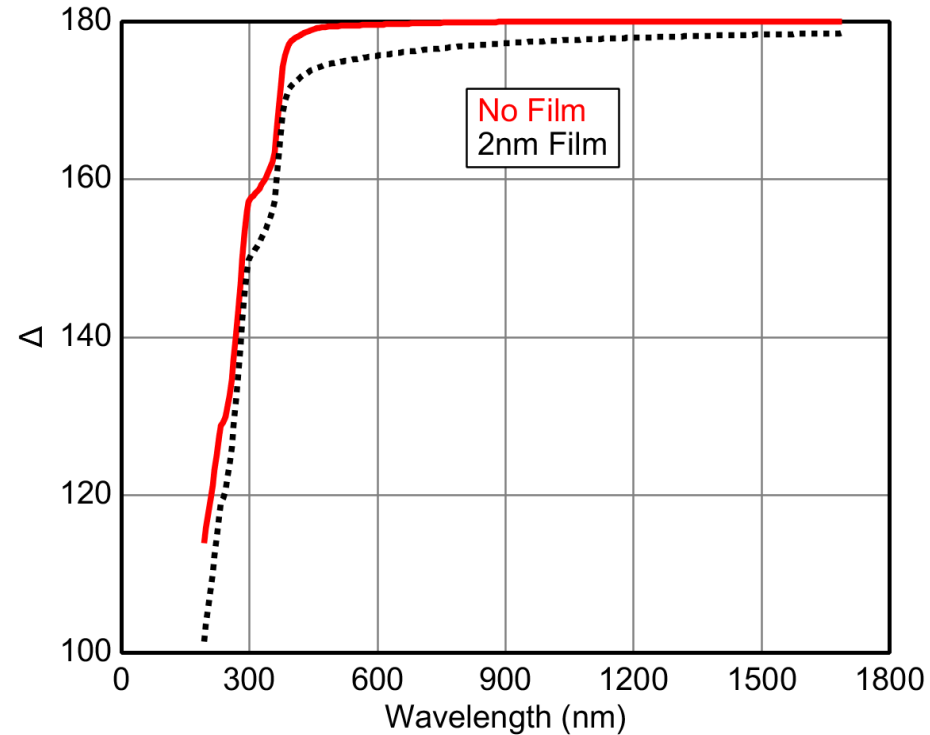


Very Thin Film (<5 nm)

Comparison of Raw SE Data



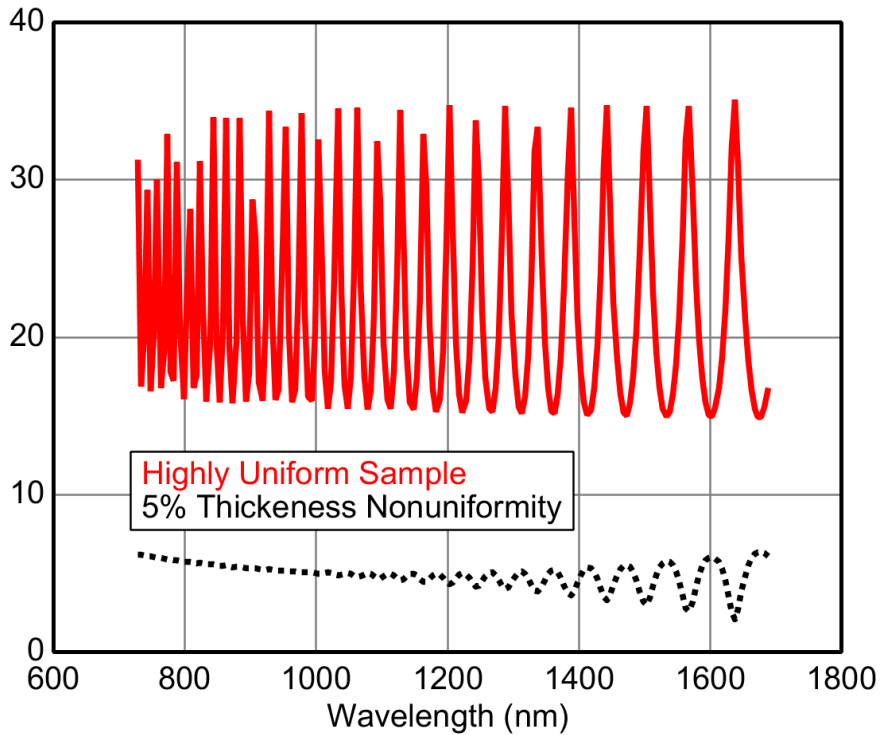
Comparison of Raw SE Data



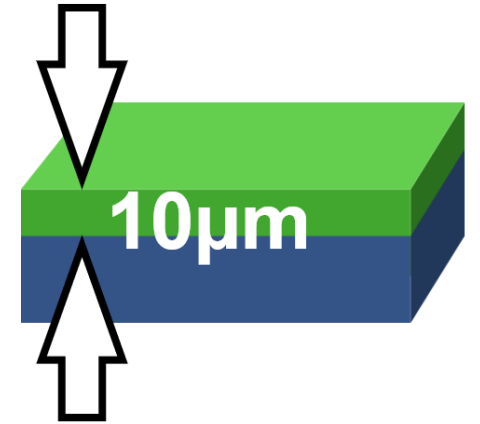
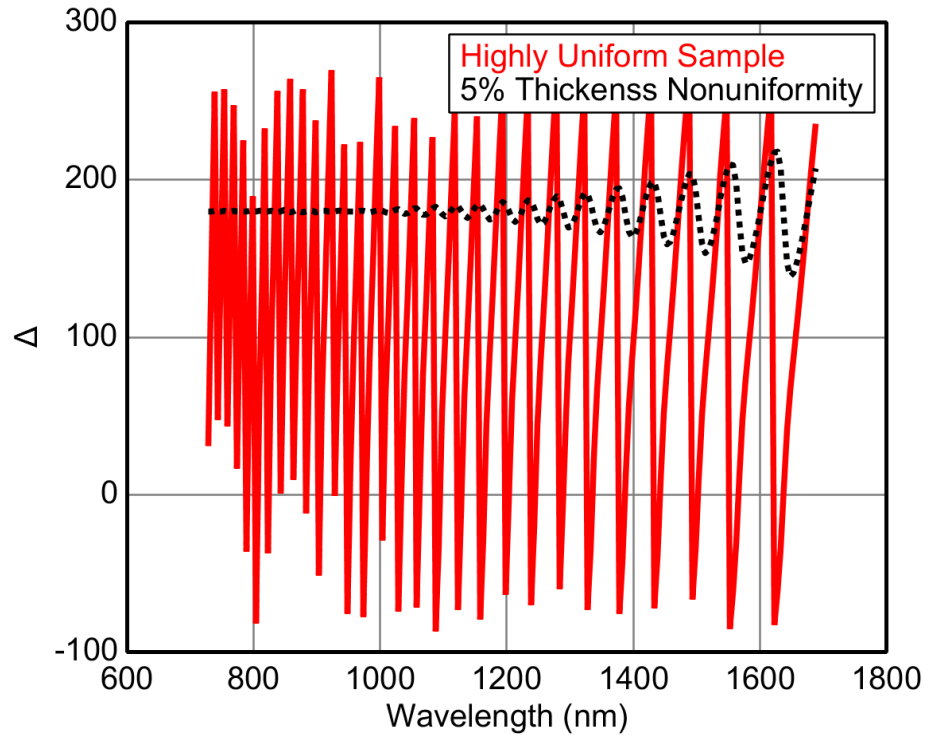
- Limitations:**
- Negligible sensitivity to film index of refraction.
 - Film and substrate indices of refraction must be sufficiently different from each other.

Very Thick Film (>5 μm)

Comparison of Raw SE Data



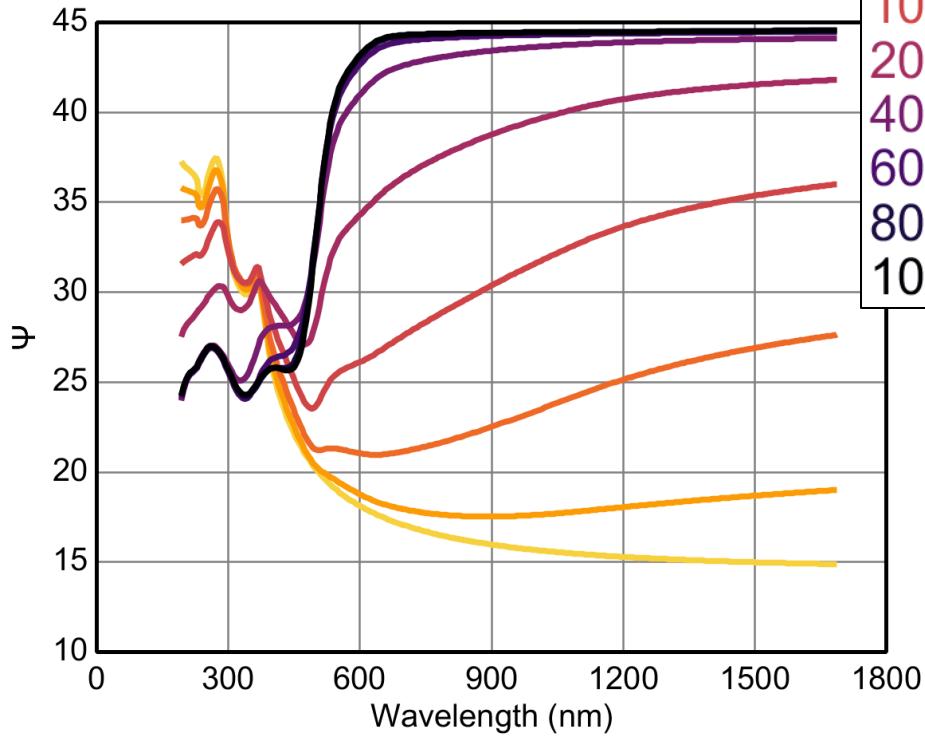
Comparison of Raw SE Data



Limitations:

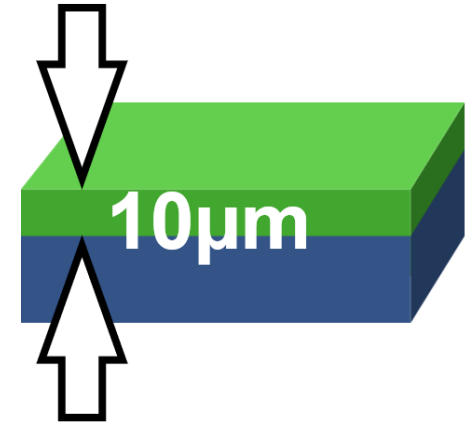
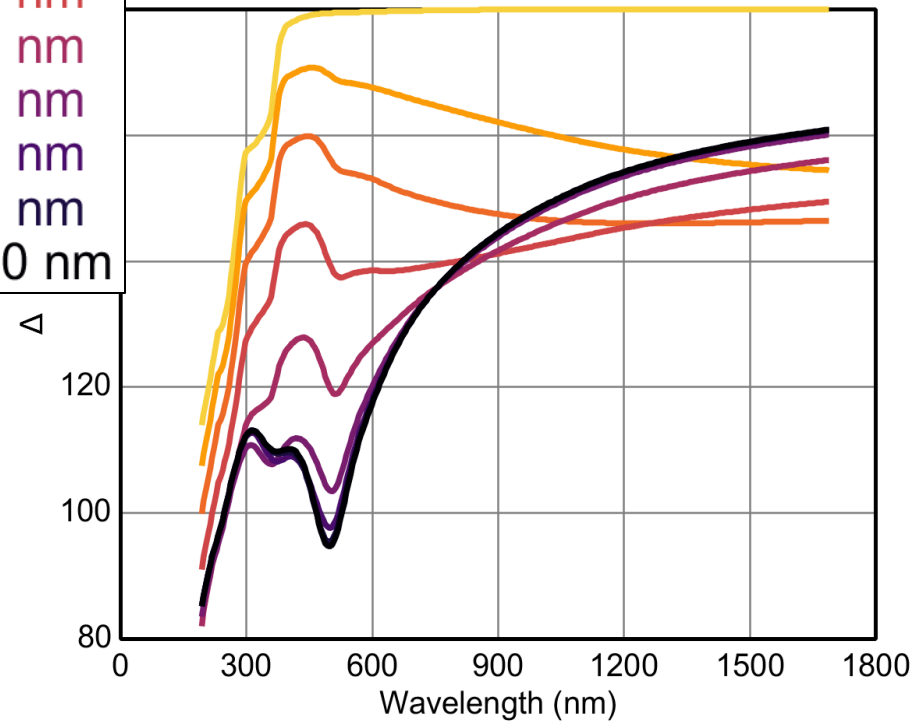
- Accurate optical modeling can be complicated.
- Highly sensitive to any nonuniformities or inhomogeneities.

Comparison of Raw SE Data



- No film
- 2 nm
- 5 nm
- 10 nm
- 20 nm
- 40 nm
- 60 nm
- 80 nm
- 100 nm

Comparison of Raw SE Data

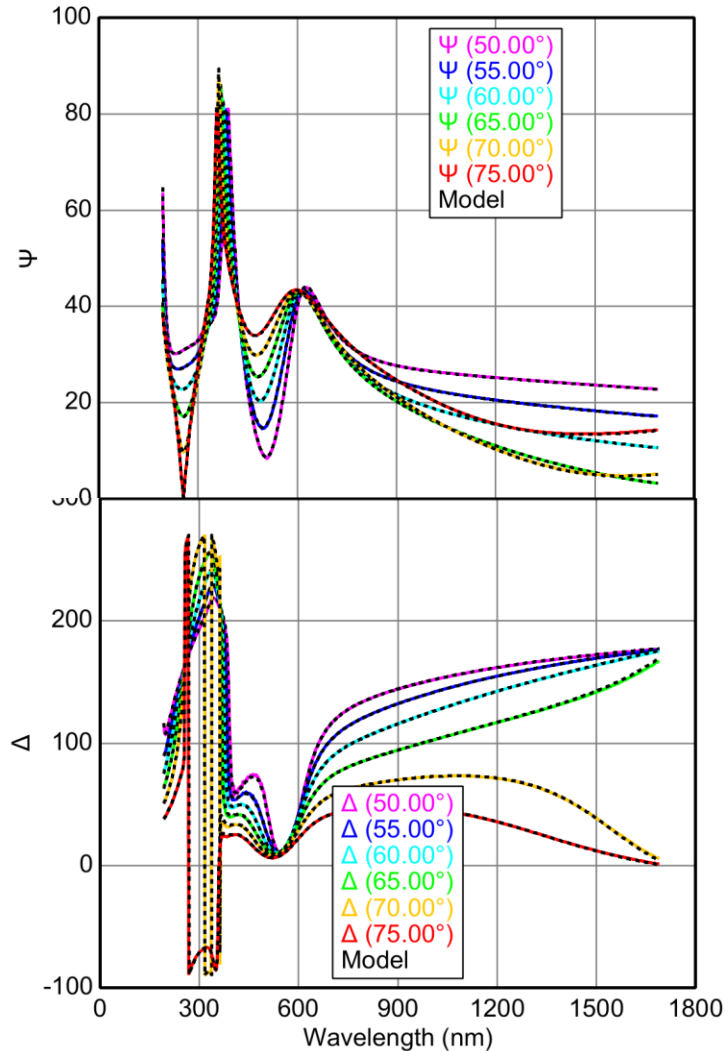


Limitations:

- Can only measure thin films that aren't fully opaque.
- Ambiguity between metal optical properties and thickness.

Multi-Layer Film Stacks

Variable Angle Spectroscopic Ellipsometric (VASE) Data



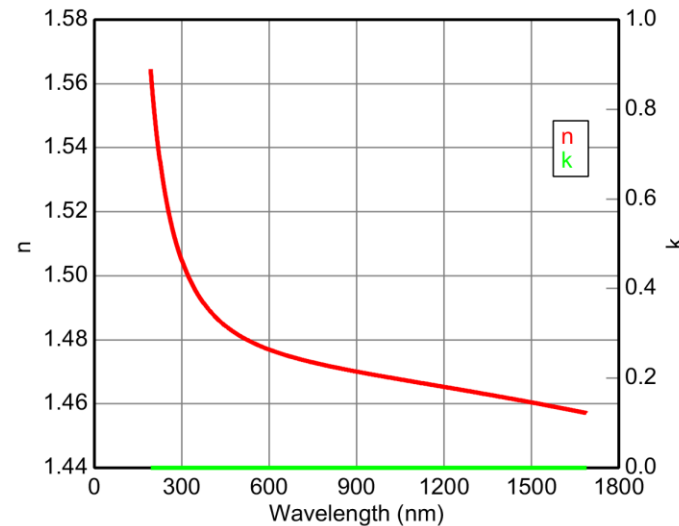
Anti-Reflection Coating:

+ Layer # 8 = <u>Roughness</u> Roughness Thickness = 4.98 nm (fit)
+ Layer # 7 = <u>SiO2_7</u> SiO2_7 Thickness = 90.95 nm (fit)
+ Layer # 6 = <u>TiO2_6</u> TiO2_6 Thickness = 34.18 nm (fit)
+ Layer # 5 = <u>SiO2_5</u> SiO2_5 Thickness = 17.28 nm (fit)
+ Layer # 4 = <u>TiO2_4</u> TiO2_4 Thickness = 43.45 nm (fit)
+ Layer # 3 = <u>SiO2_3</u> SiO2_3 Thickness = 36.86 nm (fit)
+ Layer # 2 = <u>TiO2_2</u> TiO2_2 Thickness = 11.07 nm (fit)
+ Layer # 1 = <u>SiO2_1</u> SiO2_1 Thickness = 27.76 nm (fit)
+ Substrate = <u>Glass</u>

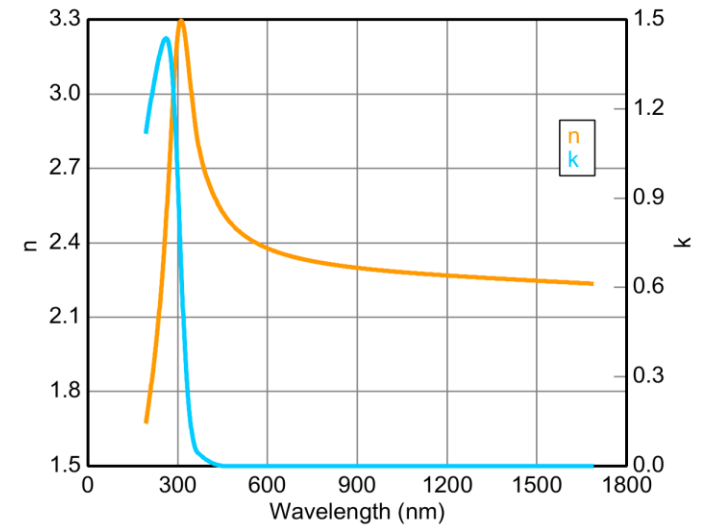
Limitations:

- Need to know approximate stack structure to build representative optical model.
- Cannot have more than a few layers with unknown optical properties.

SiO2 Optical Properties



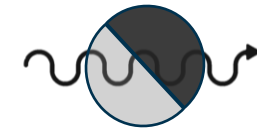
TiO2 Optical Properties



1. Substrate exposed (or masked)



→ **2. Not optically transparent**



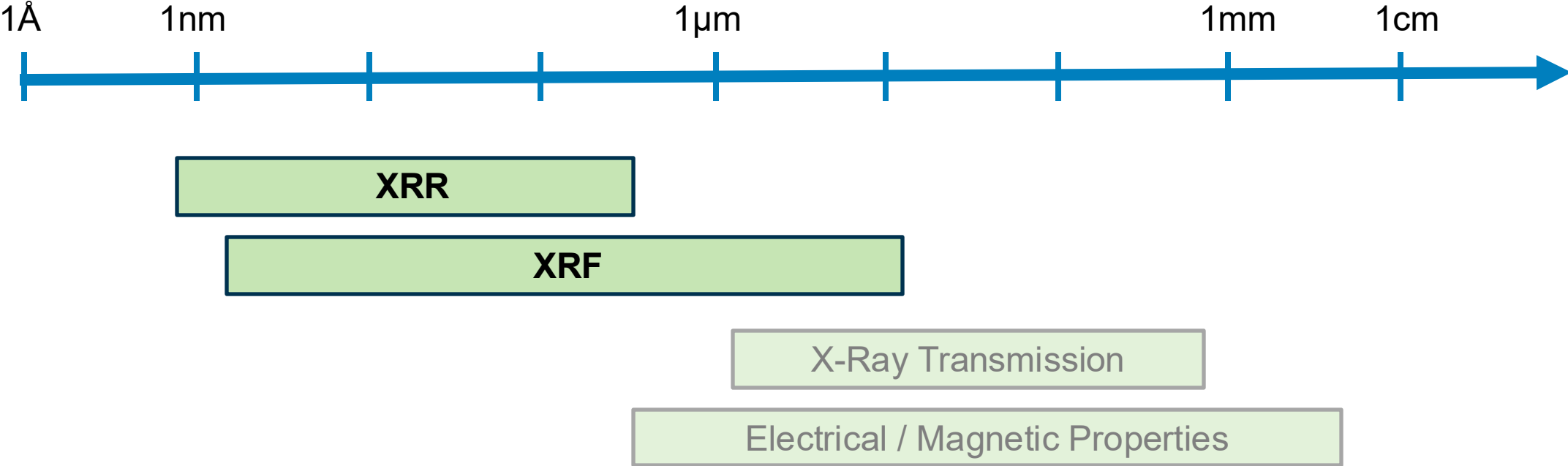
3. Prior knowledge for modeling

?

4. Everything else

Absorbing / Non-transparent films

- What is the expected film thickness?



Strongly absorbing/non-transparent films

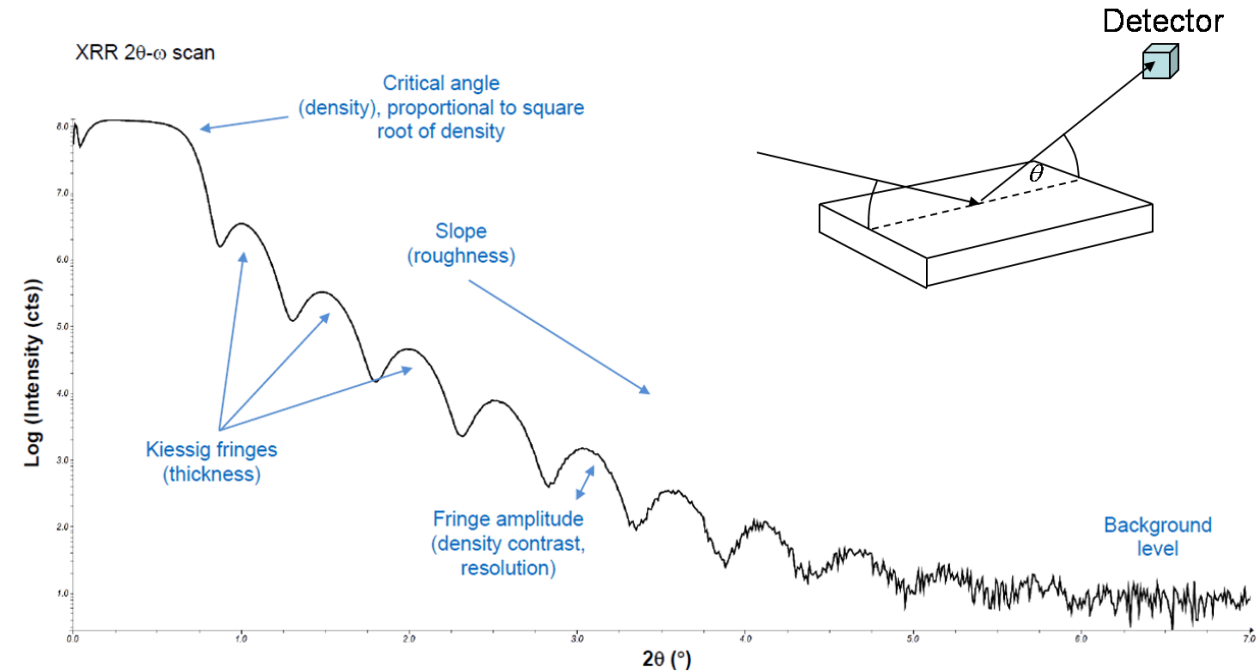
- Many materials and coatings **strongly absorb UV/VIS/NIR** light; can limit optical methods
- However, these materials are **transparent to X-rays**
- Thickness can be measured by specular X-ray reflectivity (**XRR**) or by the intensity of characteristic X-ray fluorescence (**XRF**) from specific elements in the coating



X-ray Reflectivity (XRR)

Additional Info
Surface roughness & density of layers

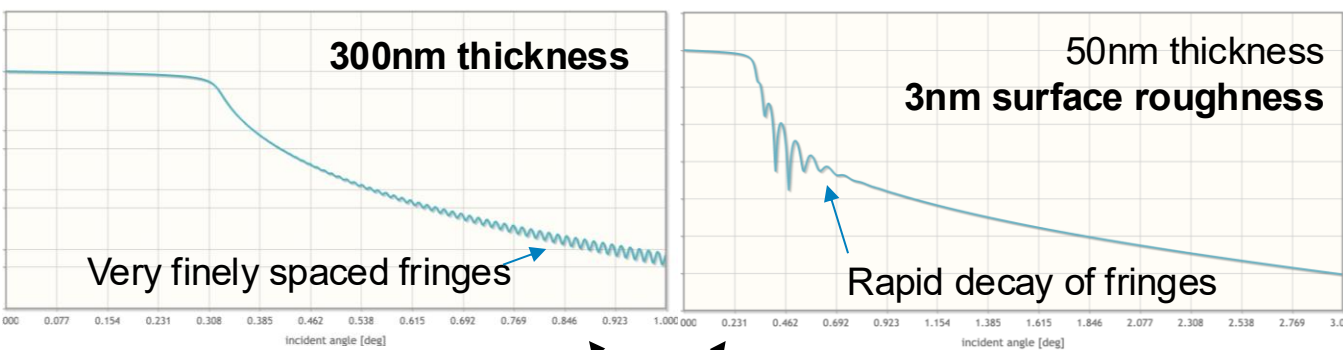
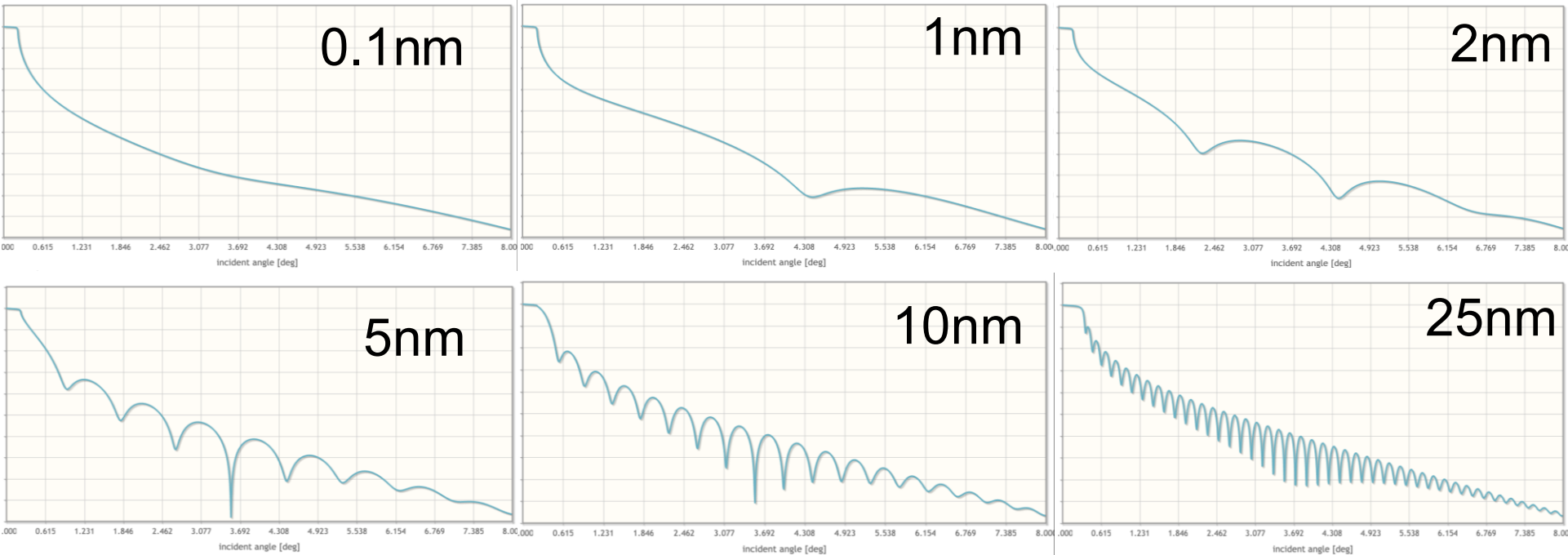
- Specular X-ray reflection from surfaces
- Interference induces oscillation (fringes) in the reflectivity signal
- Very high precision, applicable to optically opaque films



Method	Applicability	Benefits	Limitations
XRR	Thin smooth films	High precision, applicable to optically opaque films, models are typically simple, mapping	Needs very smooth films (<3nm) and thin (<300nm typically), modeling requires some knowledge of the sample

XRR film thickness sensitivity and limitations

- **Excellent sensitivity**
- Fringe frequency independent of optical properties or density



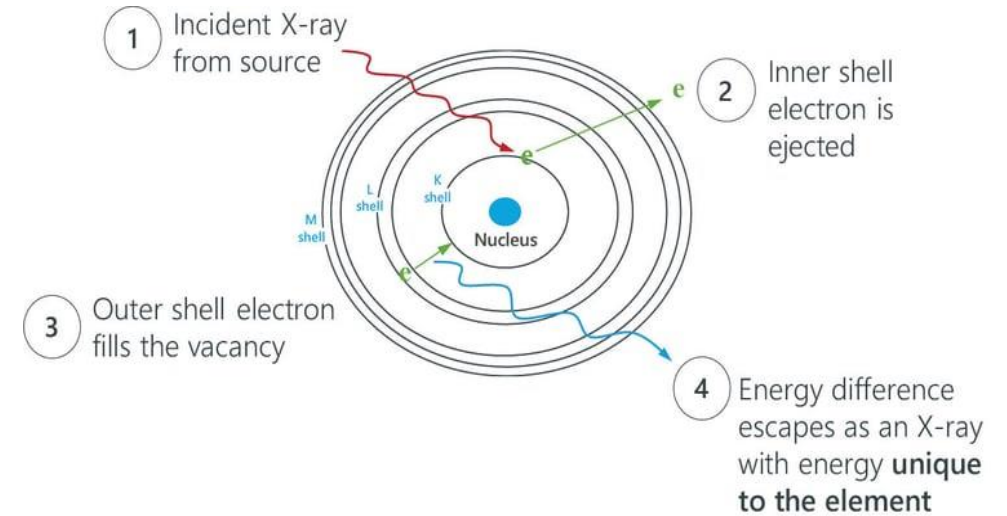
zoomed in on lower angle region

- Limitations:**
- Thicker films (>200nm) require higher resolution to resolve fringes
 - Roughness rapidly reduces fringe intensity

X-ray fluorescence

Additional Info Chemical composition

- Characteristic X-rays emitted during X-ray irradiation
- Emissions proportional to the amount of each element, typically correlated with thickness
- Widely applied to metal coatings
- Less strict roughness requirements than XRR
- Can measure multiple microns thickness
- Operates in serial wavelength dispersive or parallel energy dispersive mode



- ### Limitations:
- Can require standards for quantification
 - Energy dispersive detectors can suffer from overlapping peaks due to limited resolution

Method	Applicability	Benefits	Limitations
XRF	Typically, metal-containing coatings	Inexpensive, fast, mapping	Thickness measurement typically requires calibration standards

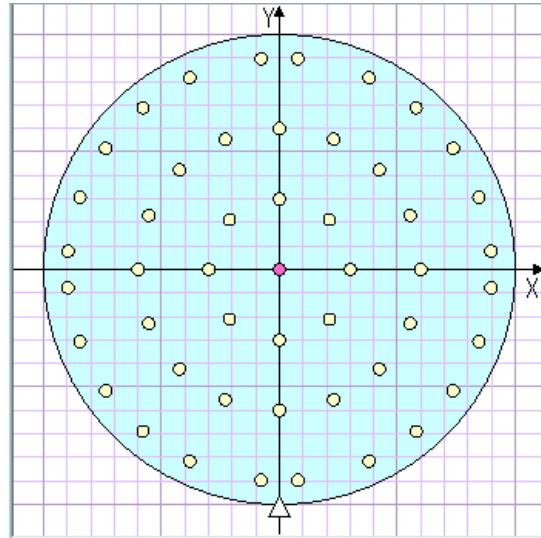
XRR & XRF

Rigaku XTRAIA MF-3000

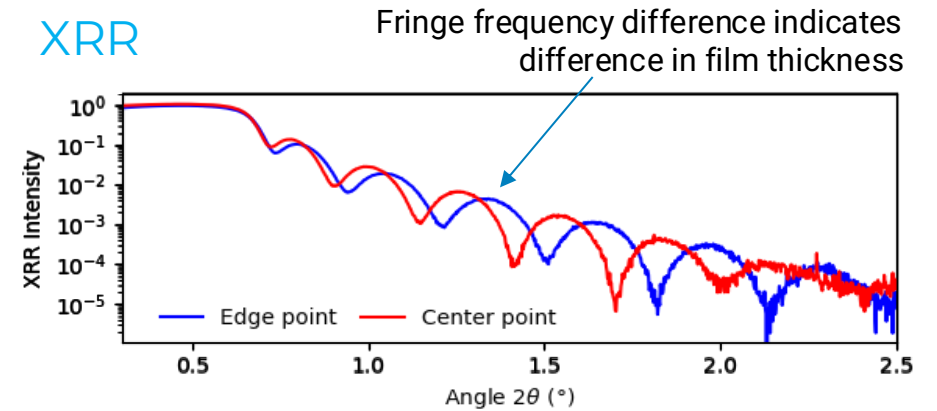


XRR patterns and XRF signals were collected at 49 locations across a 300mm wafer featuring a 25nm TiN film.

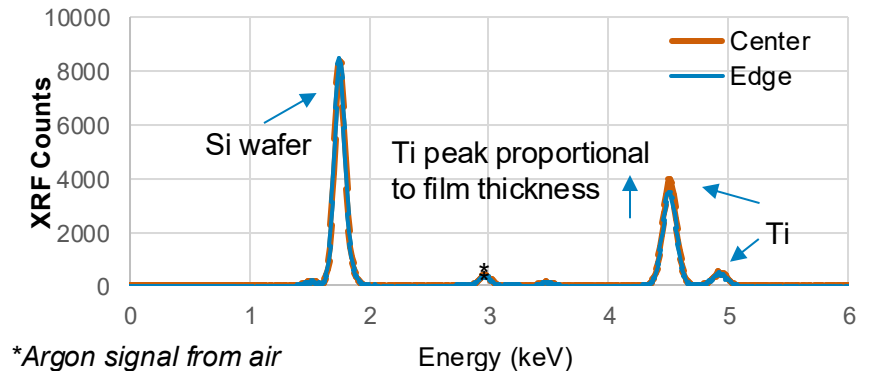
WAFER MAP



XRR

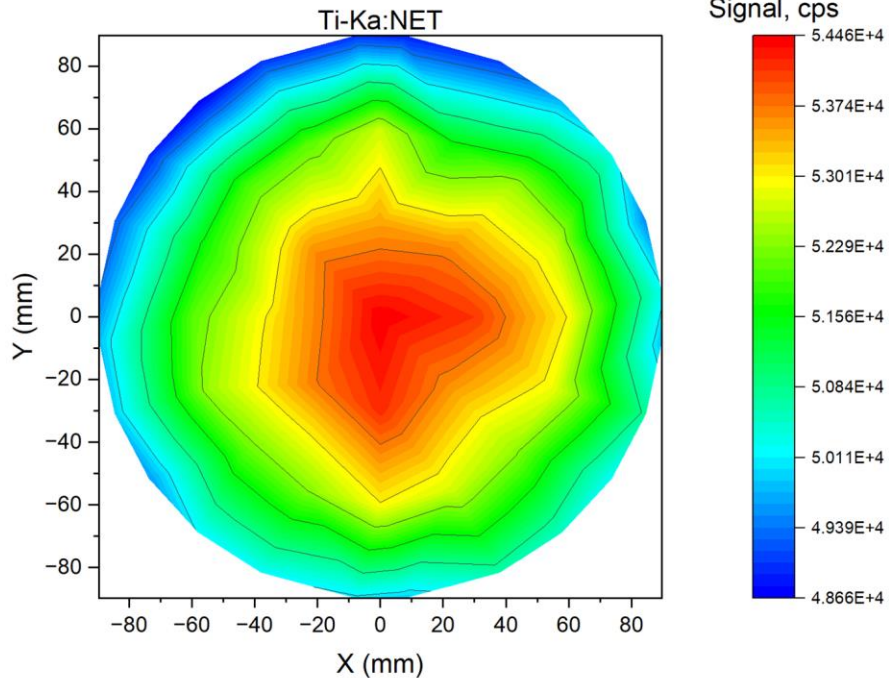


XRF

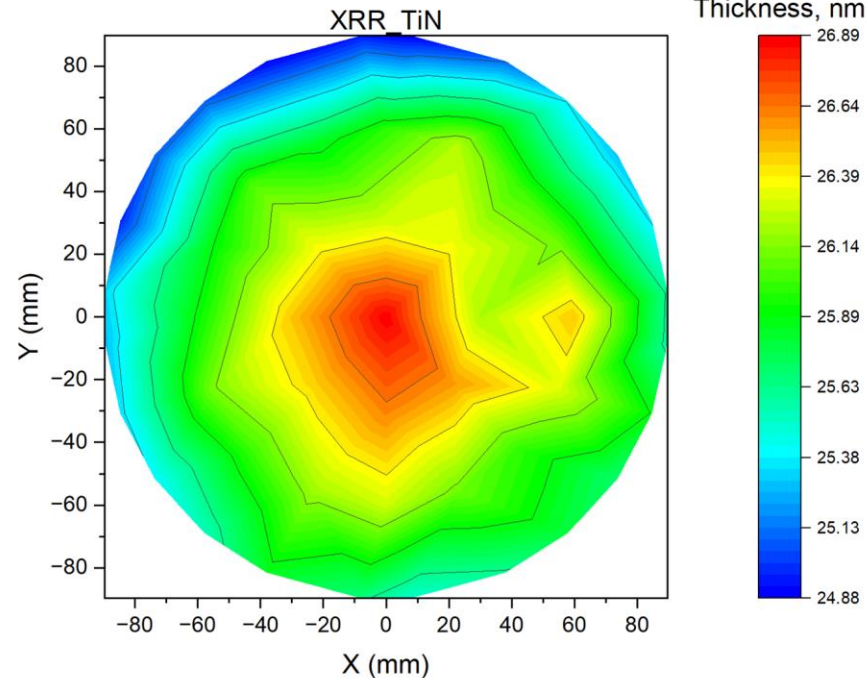


From Data to Insight: Analyzing TiN Thickness Variation

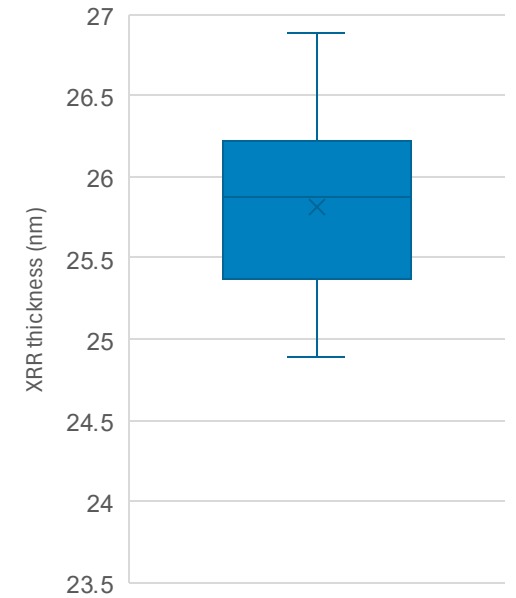
XRF



XRR



Film Thickness Variation:
Statistical Distribution
Across 300mm Wafer



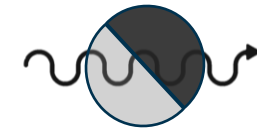
25.8 +/- 0.5nm (1 σ)

The data reveals measurable variation in film thickness across the wafer, demonstrating the tool's capability for high-resolution, full-wafer uniformity analysis.

1. Substrate exposed (or masked)



2. Optically transparent

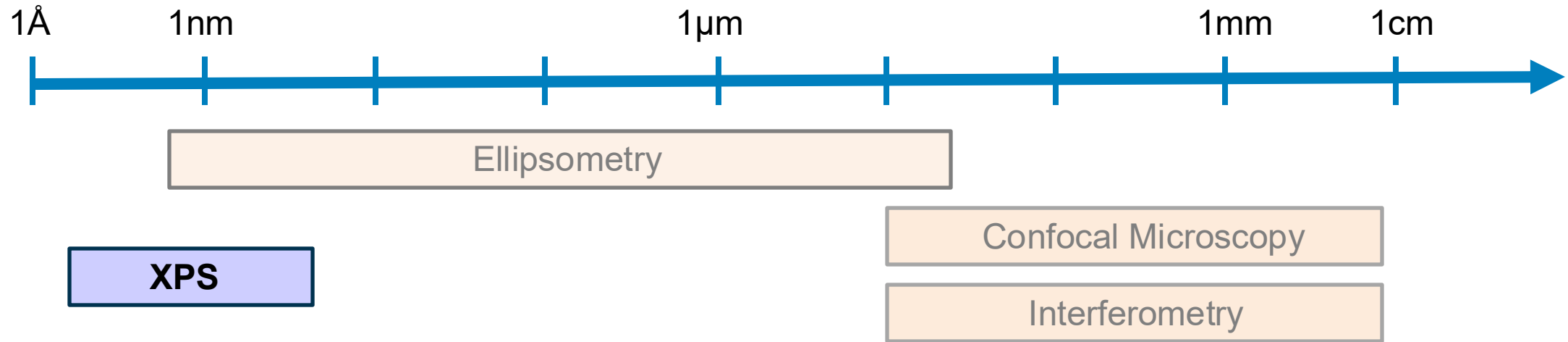


→ **3. Prior knowledge for modeling**

?

4. *Everything else*

Very thin transparent oxides, ex. native oxides

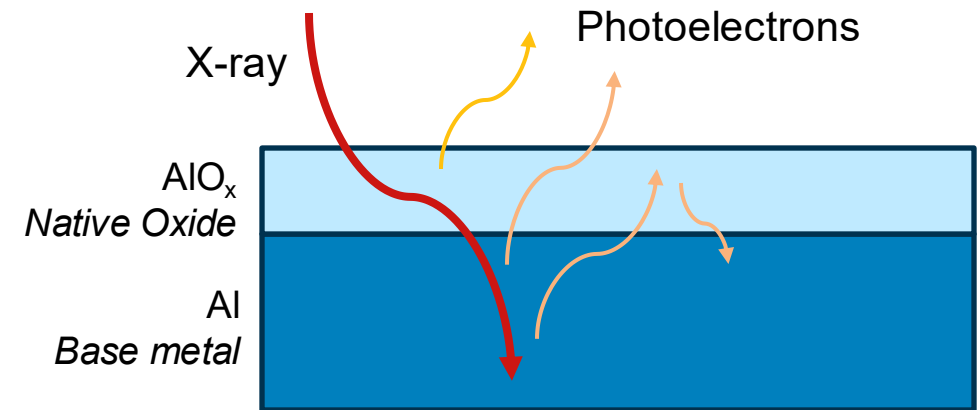


- Optically transparent – but often don't have good set of optical properties for modeling
- Very thin
- Form naturally on many materials (ex. Aluminum, Silicon) in air
 - if you try to mask off an area they will grow when the mask is removed
- They often form on rough metal surfaces unsuitable for XRR
- Contain light elements not suitable for XRF

X-ray photoelectron spectroscopy (XPS)

Additional Info
Surface Chemistry
Contamination

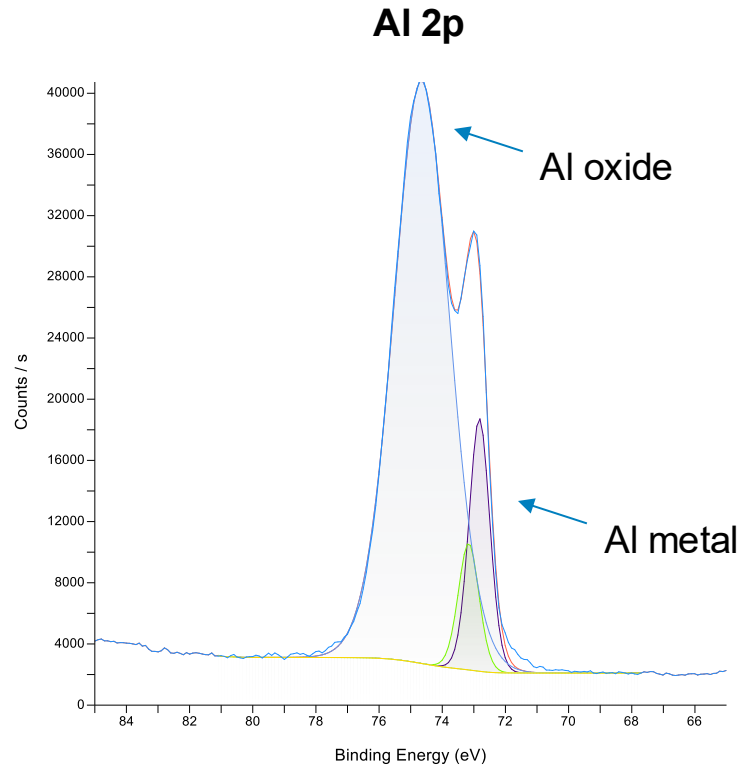
- XPS measures the binding energy (BE) of electrons in the sample
 - Sensitive to local coordination environment
 - Metal-Metal or Metal-Oxygen bonds have different BE
- The surface native oxide layer scatters the photoelectrons emitted from the substrate
 - Thickness calculated using inelastic mean free path (IMFP) of electrons in the oxide



Limitations:

- Very thin films <10nm
- Requires known IMFP

Method	Applicability	Benefits	Limitations
XPS	Very thin layers where the coating and the substrate share an element, ex. native oxides	Can measure very thin native oxides	Requires binding energy difference and known inelastic mean free path, limited to very thin <~5-8nm films.



	Al	
	metal	oxide
Binding Energy (eV)	72.8	74.7
Area %	13.9	86.1

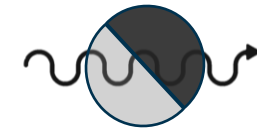
Thickness calculated to be 6.3nm

Method	Applicability	Benefits	Limitations
XPS	Very thin layers where the coating and the substrate share an element, ex. native oxides	Can measure very thin native oxides	Requires binding energy difference and known inelastic mean free path, limited to very thin <~5-8nm films.

1. Substrate exposed (or masked)



2. Optically transparent



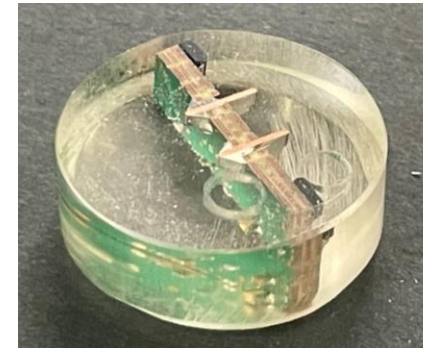
3. Prior knowledge for modeling

?

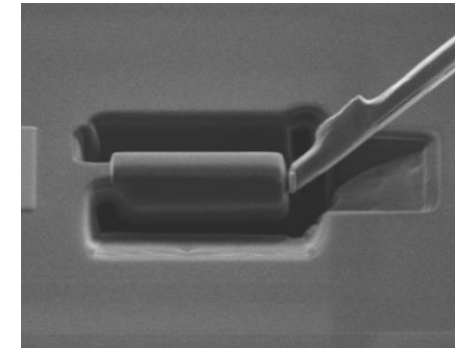
→ 4. *Everything else*

- Gold standard in many application
 - directly visualize each the layer and measure thickness
 - Widely applicable
 - Requires minimal prior knowledge
 - complex unknown stacks possible
 - No limitations on optical transparency
- Many ways to prepare and image cross-sections
 - Embedding and polishing
 - Slicing with a blade or microtome
 - Focused ion beam
- Discuss two case studies from two industries

Additional Info
Sub-surface features/defects



Epoxy embedded
and polished
cross-section



FIB preparation
of TEM cross-
section sample

Method	Applicability	Benefits	Limitations
Cross-Section	Almost anything	You can see all the layers	Destructive

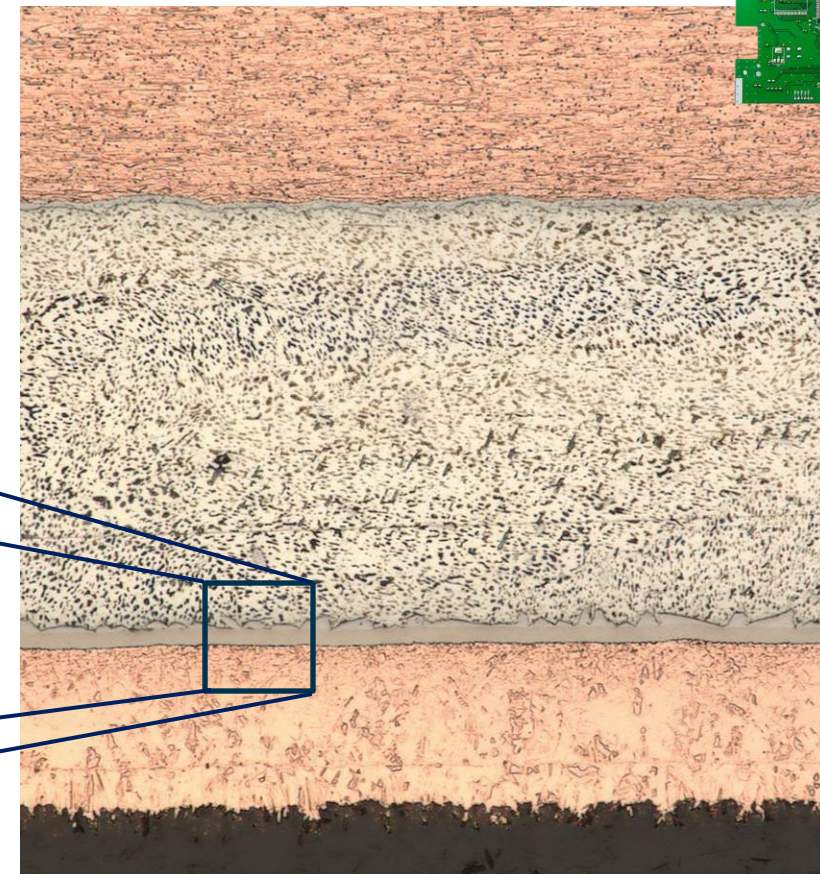
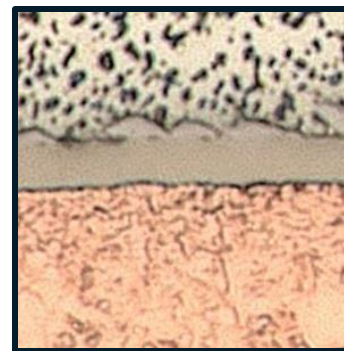
Metal Films – nickel on a printed circuit board using optical microscopy

- Buried opaque layers, only accessible by cross-section

Cross section of solder on Cu printed circuit board (PCB)

Additional interfacial layers visible, non-uniform thickness

0-4 μ m intermetallic
4 μ m Ni



Solder
100 μ m

Cu
40 μ m

Additional Info

Defects, microstructure

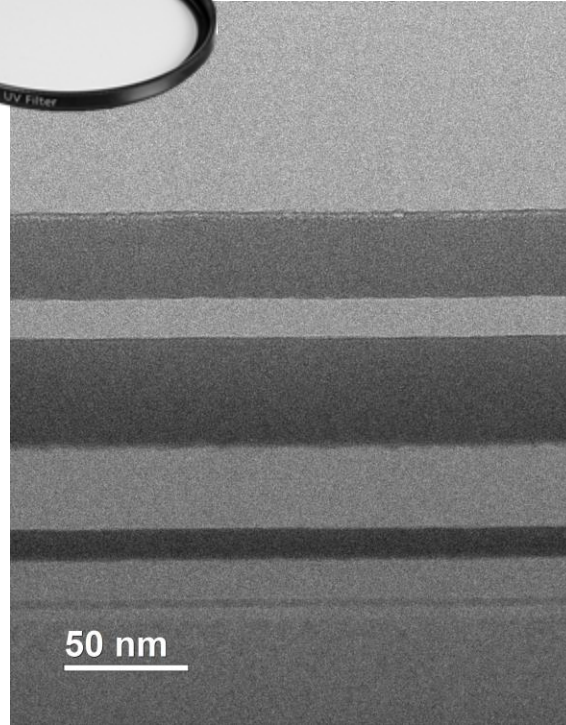
Multilayer antireflection coating: Camera filter using TEM-EDS

- Camera filter
- 9 alternating layers
 - 3-90nm thickness
 - Measured individually
- Inform model development for ellipsometry

Additional Info
Defects, nanostructure, interfacial roughness

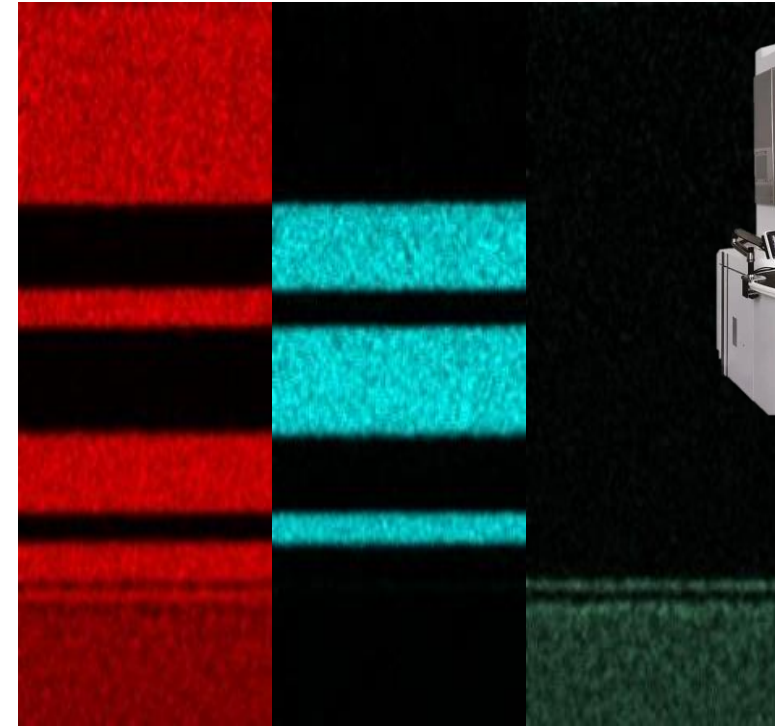


TEM image



TEM-EDS
Elemental Maps

SiO₂
TiO₂
SiO₂
TiO₂
SiO₂
TiO₂SiO₂
Al₂O₃
SiO₂



JEOL JEM-F200



	SiO ₂	Al ₂ O ₃	SiO ₂	TiO ₂	SiO ₂	TiO ₂	SiO ₂	TiO ₂	SiO ₂
Thickness (nm)	5.0	3.2	15.4	12.3	32.6	43.2	15.9	34.7	85.2

substrate

surface

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PHOTO-INDUCED FORCE MICROSCOPY (PIFM): AUGMENTING SURFACE ANALYSIS WITH AFM CHEMICAL MAPPING

Sung Park, PhD
Co-founder and CEO, Molecular Vista



Webinar | 50 min

SURFACE CHARGE ON COLLOIDS, AND BEYOND: THE COMPLEMENTARITY OF SOLID- AND SOLUTION-STATE ZETA POTENTIAL MEASUREMENT

Thomas Luxbacher, PhD
Principal Scientist, Anton Paar

September 9, 2023 | 11am PT

COVALENT ACADEMY
Industrial Applications of Advanced Metrology
Episode 34



Webinar | 60 min

NANOSIMS: HIGH SENSITIVITY IMAGING ANALYSIS FOR DEVICES


SPEAKER:
Junichiro Sameshima, PhD
Senior Manager of Surface Science Laboratory, Toray Research Center, Inc.

September 22, 2022 | 11am PT

Toray Research Center, Inc.

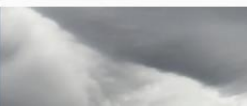
COVALENT METROLOGY

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Advancements in Instrumentation Series
Episode 33



Webinar | 60 min

CHARACTERIZATION OF CLIMATE BENEFICIAL



ADVANCED ANALYTICAL SCANNING TRANSMISSION



LASER ABLATION INDUCTIVELY COUPLED PLASMA





Q & A Session



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Thank you.

Summary

Method	Applicability	Benefits	Limitations	Additional Information
Step Height	Films with exposed substrates	Simple and direct measurement	Requires exposed substrate, can scratch the sample	<i>Surface Roughness</i>
Cross-Section	Almost anything	You can see all the layers	Destructive	<i>Sub-surface features/defects</i>
Ellipsometry	Somewhat optically transparent films	Quick, non-destructive, measure optical properties, mapping	Needs transparency and knowledge of the layers to model	<i>Optical properties</i>
XRR	Thin smooth films	High precision, applicable to opaque metal layers, mapping	Limited thickness range ~1-300nm with low roughness (<5nm); modeling can require knowledge of the sample	<i>Surface roughness & density of layers</i>
XRF	Typically, metal-containing coatings	Inexpensive, fast, mapping	Thickness measurement typically requires calibration standards	<i>Chemical composition</i>
XPS	Very thin layers where the coating and the substrate share an element, ex. native oxides	Can measure very thin native oxides	Requires binding energy difference and known inelastic mean free path, limited to very thin <~5-8nm films	<i>Surface chemistry and contamination</i>

Many other techniques are available if your sample doesn't fit in these categories. Please reach out to discuss your application